FORM 4

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See

## **UNITED STATES SECURITIES AND EXCHANGE COMMISSION**

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

| OMB APPROVAL             |       |  |  |  |  |  |  |  |  |
|--------------------------|-------|--|--|--|--|--|--|--|--|
| OMB Number: 3235-0287    |       |  |  |  |  |  |  |  |  |
| Estimated average burden |       |  |  |  |  |  |  |  |  |
| hours per response       | . 0.5 |  |  |  |  |  |  |  |  |

| Instruc  | tion 1(b).  |       |        | Filed  |   |   |                         |  |        |   | ies Exchang<br>mpany Act o |   | 1934  |   | <u>  L</u>   |  |  |            |
|--|---|-------|--------|--|---|---|-------------------------|--|--------|---|----------------------------|---|---|---|--|--|--|------------|
| 1. Name and Address of Reporting Person*  Rodriguez Arturo               |   |       |        | 2. Issuer Name and Ticker or Trading Symbol Aterian, Inc. [ ATER ] |   |   |                         |  |        |   |                            | heck all a                              | chip of Reporti<br>applicable)<br>rector<br>ficer (give title   |   | erson(s) to Is<br>10% O<br>Other (                                       | wner   |  |            |
| (Last) (First) (Middle) C/O ATERIAN, INC. 37 EAST 18TH STREET, 7TH FLOOR |   |       |        | 3. Date of Earliest Transaction (Month/Day/Year) 06/14/2022        |   |   |                         |  |        |   |                            |   | Chief Financial C   |   |  | below)   |  |            |
| (Street)  NEW YORK NY 10003  (City) (State) (Zip)                        |   |       |        | 4. If Amendment, Date of Original Filed (Month/Day/Year)           |   |   |                         |  |        |   |                            | ne)<br><mark>X</mark> Fo<br>Fo          | dividual or Joint/Group Filing (Check Applicable  Form filed by One Reporting Person  Form filed by More than One Reporting  Person |   |  |  |  |            |
|  |   | Table | I - No | n-Deriva   | tive \$   | Secu  | rities                  | Acq  | uired  | , Dis   | posed of                   | , or Be                                 | nefic   | ally Ov   | /ned   |  |  |            |
| 1. Title of Security (Instr. 3)  2. Transact Date (Month/Day             |   |       |        | Exe<br>y/Year) if an   |   | A. Deemed<br>kecution Date,<br>any<br>lonth/Day/Year) |                         | 3. Transaction Code (Instr. 8)  4. Securitie Disposed 0 5)                                 |        | es Acquired (A)<br>Of (D) (Instr. 3,  |                            | nd Sec<br>Ben<br>Owi                    | mount of<br>urities<br>eficially<br>ned Following   | 6. Ownership<br>Form: Direct<br>(D) or Indirect<br>(I) (Instr. 4) |  | 7. Nature<br>of Indirect<br>Beneficial<br>Ownership                |  |            |
|  |   |       |        |  |   |   |                         |  | Code   | v   | Amount                     | (A) or<br>(D)                           | Price   | Trai  | orted<br>nsaction(s)<br>tr. 3 and 4)                                     |  |  | (Instr. 4) |
| Common Stock 06/14/2   |   |       |        | 2022   |   |   | <b>S</b> <sup>(1)</sup> |  | 23,833 | D   | \$2.5                      | 6(2)                                    | 443,768   |   | D  |  |  |            |
|  |   | Tal   |        |  |   |   |                         |  |        |   | osed of, convertib         |   |   |   | ied  |  |  |            |
| 1. Title of<br>Derivative<br>Security<br>(Instr. 3)                      | Perivative lecurity (accurity Instr. 3)  Price of Derivative Security  Date (Month/Day/Year)  Execution Date, (if any (Month/Day/Year)) |       |        |  | saction de (Instr. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5) |   |                         | 6. Date Exercisable and Expiration Date (Month/Day/Year)  Date Expiration Exercisable Date |        | 7. Title and Amount of Securities Underlying Derivative Security (Instr. 3 and 4) |                            | 8. Price Derivative Security (Instr. 5) | derivative<br>Securities  | ly  | 10.<br>Ownership<br>Form:<br>Direct (D)<br>or Indirect<br>(I) (Instr. 4) | 11. Nature<br>of Indirect<br>Beneficial<br>Ownership<br>(Instr. 4) |  |            |

## **Explanation of Responses:**

- 1. Shares were automatically sold by the Reporting Person on a non-discretionary basis solely to satisfy tax withholding obligations upon the vesting of restricted stock awards
- 2. The price reported in Column 4 is a weighted average price. These shares were sold in multiple transactions at prices ranging from \$2.51 to \$2.605, inclusive. The reporting person undertakes to provide Aterian, Inc. (the "Company"), any security holder of the Company, or the staff of the Securities and Exchange Commission, upon request, full information regarding the number of shares sold at each separate price within the range set forth herein in this footnote.

## Remarks:

/s/Arturo Rodriguez

06/16/2022

\*\* Signature of Reporting Person Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

- \* If the form is filed by more than one reporting person, see Instruction 4 (b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.