FORM 4

## **UNITED STATES SECURITIES AND EXCHANGE COMMISSION**

Washington,	D.C. 20549
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Check this box if no longer subject
to Section 16. Form 4 or Form 5
obligations may continue. See
Instruction 1(b).

## STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

OMB APPROVAL 3235-0287 Estimated average burden hours per response: 0.5

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

1. Name and Address of Reporting Person*  Harlam Bari A						2. Issuer Name and Ticker or Trading Symbol Aterian, Inc. [ ATER ]									ck all app Direc	tor	ng Perso	10% O	wner
(Last)	(Fi	rst) (f		3. Date of Earliest Transaction (Month/Day/Year) 09/30/2021									below	er (give title /)		Other (s	specify		
37 EAST	4. If A	4. If Amendment, Date of Original Filed (Month/Day/Year)									6. Individual or Joint/Group Filing (Check Applicable								
(Street) NEW YORK NY 10003														1 ′	X Form filed by One Reporting Person Form filed by More than One Reporting Person				
(City)	(S	tate) (Z	Zip)																
		Table	I - No	n-Deriva	ative S	Secu	ritie	s Acq	uired,	Dis	posed of	, or E	Bene	ficial	ly Own	ed			
1. Title of Security (Instr. 3)  2. Transact Date (Month/Day						Execution D		Date,	3. Transaction Code (Inst		4. Securities Acquired (A Disposed Of (D) (Instr. 3, 5)		A) or , 4 and	5. Amo Securit Benefic Owned Report	ies cially Following	Form:	Direct Indirect tr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)	
					Code	v	Amount	(A) (D)	or F	Price		ction(s)			(111501.4)				
Common Stock 09/30/2					2021				A		1,098(1)	A		\$0.00	37	37,223		D	
Common Stock 09/30/2						2021					8,449(2)	A	<b>L</b>	\$0.00	45,672		D		
Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)																			
1. Title of Derivative Security (Instr. 3)	ve Conversion Date Execution Date, or Exercise (Month/Day/Year) if any		4. Transaction Code (Instr. 8)		of		6. Date Expirati (Month/	ion Da		e and 7. Title and Amount of Securities Underlying Derivative Security (Ins 3 and 4)		D S (I	Price of erivative ecurity nstr. 5)	9. Number derivative Securities Beneficiall Owned Following Reported Transactio (Instr. 4)	Ownershi Form: y Direct (D) or Indirec (I) (Instr. 4		Beneficial Ownership t (Instr. 4)		
					Code V		(A)	(D)	Date Exercisable		Expiration Date	Title	Amo or Num of Shar	ber					

## **Explanation of Responses:**

- 1. Comprised of 1,098 shares of restricted common stock granted pursuant to the Issuer's 2018 Equity Incentive Plan that vested on the date of grant. The amount reflects a grant of common stock to the reporting person in lieu of cash for non-employee director compensation.
- 2. Comprised of 8,449 shares of restricted common stock granted pursuant to the Issuer's 2018 Equity Incentive Plan that are subject to vesting.

## Remarks:

/s/ Joseph Risico as attorney-

10/04/2021

\*\* Signature of Reporting Person

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

- \* If the form is filed by more than one reporting person, see Instruction 4 (b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.