SEC Form 4

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

OMB APPROVAL

OMB Number:	3235-0287								
Estimated average burden									
hours per response	: 0.5								

1. Name and Address of Reporting Person* 2. Issuer Name and Ticker or Trading Symbol 5. Relationship of Reporting Person(s) to Issuer Sarig Yaniv Zion Aterian, Inc. [ATER] 5. Relationship of Reporting Person(s) to Issuer (Last) (First) (Middle) C/O ATERIAN, INC. 3. Date of Earliest Transaction (Month/Day/Year) 5. Relationship of Reporting Person(s) to Issuer 37 E 18TH STREET, 7TH FLOOR 4. If Amendment, Date of Original Filed (Month/Day/Year) 6. Individual or Joint/Group Filing (Check Applicable) (Street) NEW YORK NY 10003 (City) (State) (Zip)	Instruction 1(b).		Filed	pursuant to Section 16(a) of the Securities Exchange Act of 1934	nours per response: 0.5				
Aterian, Inc. [ATER] X Director 10% Owner Sarig Yaniv Zion Aterian, Inc. [ATER] X Director 10% Owner (Last) (First) (Middle) 3. Date of Earliest Transaction (Month/Day/Year) Officer (give title Other (specify below) C/O ATERIAN, INC. 37 E 18TH STREET, 7TH FLOOR 4. If Amendment, Date of Original Filed (Month/Day/Year) 6. Individual or Joint/Group Filing (Check Applicable Line) (Street) NEW YORK NY 10003 4. If Amendment, Date of Original Filed (Month/Day/Year) 6. Individual or Joint/Group Filing (Check Applicable Line) X Form filed by One Reporting Person Form filed by More than One Reporting Person Form filed by More than One Reporting Person				or Section 30(h) of the Investment Company Act of 1940					
Salig_rame Image: Constraint vision X Director 10% Owner (Last) (First) (Middle) 3. Date of Earliest Transaction (Month/Day/Year) X Officer (give title below) Other (specify below) C/O ATERIAN, INC. 3. Date of Earliest Transaction (Month/Day/Year) 03/23/2022 See Remarks 37 E 18TH STREET, 7TH FLOOR 4. If Amendment, Date of Original Filed (Month/Day/Year) 6. Individual or Joint/Group Filing (Check Applicable Line) (Street) NEW YORK NY 10003 Form filed by One Reporting Person Form filed by More than One Reporting Person		1 0	son*						
(Last) (First) (Middle) 3. Date of Earliest Transaction (Month/Day/Year) A below) below) below) C/O ATERIAN, INC. 37 E 18TH STREET, 7TH FLOOR 03/23/2022 See Remarks (Street) NEW YORK NY 10003 6. Individual or Joint/Group Filing (Check Applicable Line) NEW YORK NY 10003 Form filed by One Reporting Person				<u> </u>	Х	Director		10% Owner	
C/O ATERIAN, INC. 37 E 18TH STREET, 7TH FLOOR 03/23/2022 See Remarks (Street) NEW YORK NY 10003 4. If Amendment, Date of Original Filed (Month/Day/Year) 6. Individual or Joint/Group Filing (Check Applicable Line) X Form filed by One Reporting Person					Х		ve title		
C/O AT ERIAN, INC. Second and the se	(Last)	(First)	(Middle)	· · · · ·		,	Coo Domoriu	,	
(Street) A. If Amendment, Date of Original Filed (Month/Day/Year) 6. Individual or Joint/Group Filing (Check Applicable Line) NEW YORK NY 10003	C/O ATERIAN,	, INC.		03/23/2022			See Remarks		
(Street) <u>NEW YORK NY 10003</u> Example 10003 Example 10003 Form filed by One Reporting Person Form filed by More than One Reporting Person	37 E 18TH STR	REET, 7TH FLOC	R						
NEW YORK NY 10003 X Form filed by One Reporting Person Form filed by More than One Reporting Person Form filed by More than One Reporting Person	(Streat)			4. If Amendment, Date of Original Filed (Month/Day/Year)	idual or Joir	Joint/Group Filing (Check Applicable			
Form filed by More than One Reporting Person	, , , , , , , , , , , , , , , , , , ,		10003		X	Form filed by One Reporting Person			
			10005			Form filed by More than One Reporti			
(City) (State) (Zip)	(Cit.)	(Ctata)	(7:-)			Person			
	(City)	(State)	(ZIP)						

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	Transaction Code (Instr.		4. Securities Acquired (A) or Disposed Of (D) (Instr. 3, 4 and 5)			5. Amount of Securities Beneficially Owned Following Reported	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	Ownership
			Code	v	Amount	(A) or (D)	Price	Transaction(s) (Instr. 3 and 4)		(Instr. 4)
Common Stock	03/23/2022		S ⁽¹⁾		166,127	D	\$2.6831 ⁽²⁾	393,252	D	

	Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)														
1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	Code	saction e (Instr. be instr. e (Instr. berivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)		6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Securities Underlying Derivative Security (Instr. 3 and 4)		8. Price of Derivative Security (Instr. 5)	9. Number of derivative Securities Beneficially Owned Following Reported Transaction(s) (Instr. 4)	10. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	11. Nature of Indirect Beneficial Ownership (Instr. 4)	
				Code	v	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares				

Explanation of Responses:

1. Shares were either: (a) automatically sold by the Reporting Person on a non-discretionary basis solely to satisfy the Reporting Person's tax withholding obligations upon the previously scheduled vesting of restricted stock awards held by the Reporting Person, or (b) sold by the Reporting Person solely to satisfy the Reporting Person's tax withholding obligations upon the previously scheduled vesting of restricted stock awards owned by the Sarig-Bulow Family Trust. Pursuant to the arrangements of the Sarig-Bulow Family Trust, Mr. Sarig does not beneficially own any of the securities owned by the Sarig-Bulow Family Trust.

2. The price reported in Column 4 of Table I is a weighted average price. These shares were sold in multiple transactions at prices ranging from \$2.62 to \$2.805, inclusive. The holder undertakes to provide to the issuer, any security holder of the issuer, or staff of the Securities Exchange Commission, upon written request, full information regarding the number of shares sold at each separate price within the range set forth in this footnote.

Remarks:

President and Chief Executive Officer

/s/ Yaniv Sarig

03/25/2022

Date

** Signature of Reporting Person

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

* If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.

Check this box if no longer subject to Section 16. Form 4 or Form 5

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP