SEC Form 4

 \Box

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

OMB APPROVAL

OMB Number:	3235-0287
Estimated average b	urden
hours per response:	0.5

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

1. Name and Ad Harlam Ba	dress of Reporting <u>ri A</u>	Person [*]		uer Name and Tick <u>rian, Inc.</u> [AT	•	Symbol		tionship of Reportin all applicable) Director	ng Person(s) to 10% (
(Last)	(First)	(Middle)		te of Earliest Trans 4/2023	action (Month	/Day/Year)		Officer (give title below)		(specify
350 SPRING	FIELD AVENU	JE SUITE #200	4. If A	Amendment, Date o	f Original File	d (Month/Day/Year)	6. Indiv Line)	vidual or Joint/Grou	p Filing (Check	Applicable
(Street)							X	Form filed by On	e Reporting Per	son
SUMMIT	NJ	07901						Form filed by Mo Person	re than One Re	porting
(City)	(State)	(Zip)	Rul	e 10b5-1(c)	Transac	tion Indication				
						action was made pursuant t ons of Rule 10b5-1(c). See I			en plan that is int	ended to
		Table I - Nor	n-Derivative S	Securities Acq	uired, Dis	posed of, or Benet	ficially	Owned		
1. Title of Secur	rity (Instr. 3)		2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date,	3. Transaction			5. Amount of Securities Beneficially	6. Ownership Form: Direct	7. Nature of Indirect Beneficial

	(Month/Day/Year)	if any (Month/Day/Year)	Code (8)	Instr.	5)				(D) or Indirect (I) (Instr. 4)	Beneficial Ownership (Instr. 4)
			Code	v	Amount	(A) or (D)	Price	Transaction(s) (Instr. 3 and 4)		(1150. 4)
Common Stock	12/14/2023		S		51,742	D	\$0.31 ⁽¹⁾	306,395	D	

 Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transa Code (8)			vative rities hired r osed) r. 3, 4	6. Date Exerc Expiration Da (Month/Day/N	ate	Deriv	int of rities rlying ative rity (Instr.	8. Price of Derivative Security (Instr. 5)	9. Number of derivative Securities Beneficially Owned Following Reported Transaction(s) (Instr. 4)	10. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	11. Nature of Indirect Beneficial Ownership (Instr. 4)
				Code	v	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares				

Explanation of Responses:

1. The price reported in Column 4 is a weighted average price. These shares were sold in multiple transactions at prices ranging from \$0.30 to \$0.32, inclusive. The reporting person undertakes to provide Aterian, Inc. (the "Company"), any security holder of the Company, or the staff of the Securities and Exchange Commission, upon request, full information regarding the number of shares sold at each separate price within the range set forth herein in this footnote.

<u>/s/</u>	Bari	A.	Harlam

** Signature of Reporting Person Date

12/15/2023

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

 * If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.