SEC Form 5

FORM 5

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b). UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

ANNUAL STATEMENT OF CHANGES IN BENEFICIAL

OWNERSHIP

OMB APPROVAL

OMB Number: 3235-0362						
Estimated average burden						
hours per response:	1.0					

Form 3	Holdings Rep	orted.														
Form 4	Transactions I	Reported.	Filec	l pursuant to So or Section 3												
1. Name and Address of Reporting Person [*] Sarig Yaniv Zion			2. Issuer Name and Ticker or Trading Symbol <u>Mohawk Group Holdings, Inc.</u> [MWK]					5. Relationship of Reporting Person(s) to Issuer (Check all applicable) X Director 10% Owner								
		st) (OUP HOLDIN REET, 7TH FLO		3. Statement for Issuer's Fiscal Year Ended (Month/Day/Year) 12/31/2019				ay/Year)	X Officer (give title Other (specify below) See Remarks							
(Street) NEW Y(.0003 Zip)	 4. If Amendment, Date of Original Filed (Month/Day/Year) 02/14/2020 					Individual or Joint/Group Filing (Check Applicable ine) X Form filed by One Reporting Person Form filed by More than One Reporting Person							
		Table	l - Non-Deriva	tive Secur	ities A	cquir	ed, Dis	posed	l of, o	r Benefic	ially Owr	ned				
Date		Date (Month/Day/Year)	2A. Deemed Execution Date if any	Code (Instr.				\) or Dispose	Securiti Benefic	es ially	s Owne Illy Form		ndirect Benefici	eficial		
			(Month/Day/Year	ai) (0)		Amount	:	(A) or (D)	Price	Issuer's	Owned at end of Issuer's Fiscal Year (Instr. 3 and 4)			Ownership (Instr. 4)		
Common Stock 10		10/30/2019		G ⁽¹⁾		891,	551	A ⁽²⁾	\$0.00	891	891,551			See Footnote ⁽³⁾		
Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)																
1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	e (Instr. Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)		nount of curities iderlying rivative curity (Instr. and 4)	8. Price of Derivative Security (Instr. 5)	9. Number of derivative Securities Beneficially Owned Following Reported Transaction(s) (Instr. 4)		10. Ownersl Form: Direct (E or Indire (I) (Instr.	nip of Be) Ow ct (In:	. Nature Indirect meficial vnership str. 4)			
			1							Amount	1	1			1	

Explanation of Responses:

1. This transaction involved a gift of securities by the Reporting Person to the Sarig-Bulow Family Trust.

2. The original Form 5, filed on February 14, 2020, reported a disposition of 891,551 shares by the Sarig-Bulow Family Trust. This amended Form 5 is being filed to report the acquisition of 891,551 shares by the Sarig-Bulow Family Trust.

(A) (D)

Date

Exercisable

Expiration

Date

3. Shares are held of record by the Sarig-Bulow Family Trust. The Reporting Person's minor children are the beneficiaries of the Sarig-Bulow Family Trust. The Reporting Person disclaims beneficial ownership of these shares, and this report shall not be deemed an admission that the Reporting Person is the beneficial owner of these securities for purposes of Section 16 or for any other purpose.

Remarks:

President & Chief Executive Officer

/s/ Yaniv Zion Sarig

or Number

of Shares

Title

03/17/2020

** Signature of Reporting Person Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

* If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.